

IAP6 Rec'd PCT/PTO 21 MAY 2007 PCT \$

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
 Date: 5-17-07 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kazuaki Matsumoto

Appln. No.: 10/591,075



BRINKS
HOFER
GILSON
& LIONE

Filed: August 30, 2006

For: METHOD FOR PRODUCTION
THERMOPLASTIC RESIN COMPOSITION
CONTAINING ULTRAFINE PARTICLES

Examiner: Not Assigned

Art Unit: Not Assigned

Attorney Docket No: 5404/168

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (in Duplicate); Response to Notification of Missing Requirements (in Duplicate); Copy of Notification of Missing Requirements; and Combined Declaration and Power of Attorney.
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

						Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x \$25=			x \$50=	
Indep.		Minus				x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+\$360=	
						Total	\$		Total	\$

Fee payment:

- ☐ Check(s) in the amount of \$_____ are enclosed.
- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$130. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

May 17, 2007

Richard G. Lione
Richard G. Lione (Reg. No. 19,795)

05/23/2007 JBALINAN 00000148 231925 10591075

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

on 5-17-07

Date of Deposit

Richard G. Lione, Reg. No. 19,795

Name of applicant, assignee or
Registered Representative

R. and G. Lione

Signature

May 17, 2007

Date of Signature



Case No. 5404/168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kazuaki Matsumoto

Serial No.: 10/591,075

Filed: August 30, 2006

For: METHOD FOR
PRODUCTION
THERMOPLASTIC
RESIN COMPOSITION
CONTAINING
ULTRAFINE
PARTICLES

Attention: Manager,
Application Branch

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn.:

Dear Sir:

In accordance with the Notification of Missing Requirements of Application Filing Date Granted dated April 24, 2007, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☐ Checks totaling \$_____ for:
- ☐ Filing Fee of \$_____.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$_____.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: _____.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: _____

May 17, 2007

Richard G. Lione
Richard G. Lione
Registration No. 19,795
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

MAY 21 2007

U.S. APPLICATION NUMBER NO.

10/591,075

FIRST NAMED APPLICANT

Kazuaki Matsumoto

ATTY. DOCKET NO.

5404/168

INTERNATIONAL APPLICATION NO.

PCT/JP05/02850

I.A. FILING DATE

02/23/2005

PRIORITY DATE

03/03/2004

757

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610

APR 3 2007

CONFIRMATION NO. 5971

371 FORMALITIES LETTER



OC000000023479804

Date Mailed: 04/24/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/30/2006
- English Translation of the IA filed on 08/30/2006
- Copy of the International Search Report filed on 08/30/2006
- Preliminary Amendments filed on 08/30/2006
- Information Disclosure Statements filed on 08/30/2006
- U.S. Basic National Fees filed on 08/30/2006
- Priority Documents filed on 08/30/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,075	PCT/JP05/02850	5404/168